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## ACC's CLO THINKTANK- FOLLOW-UP RESOURCE LIST "THE CLO'S ROLE IN GOVERNMENT RELATIONS & EXTERNAL AFFAIRS"

Below is a sampling of supplemental resource materials pertaining to the topics raised during this CLO ThinkTank and available for your further reference.

### COMPLIANCE PROGRAMS

CLO ThinkTank Executive Report: CLO's Role in Corporate Governance & Compliance-Canada  
<http://www.acca.com/php/cms/index.php?id=264>  
(this is ACC's CLO ThinkTank page; click on the specific report with this title to view it)

Law Department's Role in Developing and Implementing Effective Compliance & Ethics Programs  
(ACC Leading Practice Profile article)  
<http://www.acca.com/resource/index.php?key=5909>

Ten Things Your Board Needs to Know About Effective Compliance & Ethics Programs  
<http://acc.com/resource/v7657>

The Acid Test for Your Compliance Program (ACC Docket article)  
<http://acc.com/resource/index.php?key=7110>

When Formal Channels aren't Enough: The Advantages of an Ombuds Program (ACC Docket article)  
<http://acc.com/protected/pubs/docket/oct06/starr.pdf>

Best Practices for Building an Effective Corporate Compliance Program (ACC Annual Meeting Program Material)  
<http://acc.com/resource/v6858>

Assessing Your Risk for Compliance (ACC Annual Meeting Program Material)  
<http://acc.com/resource/v6938>

### FINANCIAL COMPLIANCE ISSUES

Lessons Learned the Hard Way: Ten Flags of Possible Financial Mismanagement and Fraud  
<http://acc.com/resource/v7714>

CLO ThinkTank Executive Report: CLO's Role in Financial Compliance & the Relationships with Auditors  
<http://www.acca.com/php/cms/index.php?id=264>



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#### **LIABILITY FOR IN-HOUSE LAWYERS**

Indemnification & Insurance Coverage for In-House Lawyers

[http://www.acca.com/protected/article/insurance/lead\\_liability.pdf](http://www.acca.com/protected/article/insurance/lead_liability.pdf)

(features practices implemented by eight companies sharing information without attribution)

Paradise Tarnished: Today's Sources of Liability Exposure for Corporate Counsel

<http://www.acca.com/resource/v4960>

SEC and Criminal Proceedings Against Inside Corporate Counsel

<http://www.acca.com/resource/index.php?key=6063>

Corporate Counsel Caught in the Crosshairs

<http://www.acca.com/protected/article/attyclient/crosshair.pdf>

Through the Looking Glass: Ten Lessons from In-House Counsel on Trial (ACC Docket)

<http://www.acca.com/resource/v4850>

Hidden Insurance Issues Behind Sarbanes-Oxley and Recent Governance Reform

<http://www.acca.com/protected/pubs/docket/apr05/ladder.pdf>

ACC Alliance Partner- Link to Chubb's Employed Lawyers Professional Liability Insurance Information

<http://www.acca.com/php/cms/index.php?id=225#chubb>

Canadian Law Society- Insurance Link to LawPro Insurance Information

[http://www.lsuc.on.ca/media/sept2806\\_lawpro.pdf](http://www.lsuc.on.ca/media/sept2806_lawpro.pdf)