

ACC's CLO THINKTANK- FOLLOW-UP RESOURCE LIST  
"NAVIGATING THE COMPLEXITIES OF C-SUITE RELATIONSHIPS"

Below is a sampling of supplemental resource materials pertaining to the topics raised during this CLO ThinkTank and available for your further reference.

COMPLIANCE

Law Department's Role in Developing and Implementing Effective Compliance & Ethics Programs  
(ACC Leading Practice Profile article)

<http://www.acca.com/resource/index.php?key=5909>

Ten Things Your Board Needs to Know About Effective Compliance & Ethics Programs

<http://acc.com/resource/v7657>

The Acid Test for Your Compliance Program (ACC Docket article)

<http://acc.com/resource/index.php?key=7110>

When Formal Channels aren't Enough: The Advantages of an Ombuds Program (ACC Docket article)

<http://acc.com/protected/pubs/docket/oct06/starr.pdf>

Best Practices for Building an Effective Corporate Compliance Program (ACC Annual Meeting Program Material)

<http://acc.com/resource/v6858>

Assessing Your Risk for Compliance (ACC Annual Meeting Program Material)

<http://acc.com/resource/v6938>

HUMAN RESOURCES; EMAILS

Monitoring Employee Emails- quick reference

<http://acc.com/resource/v7629>

AUDITOR ISSUES

Audit Response Letters (ACC Docket article)

<http://acc.com/resource/v7589>

Recent Developments in Auditor Independence (ACC Docket article)

<http://acc.com/resource/v6592>

Helping the Audit Committee Manage its Relationship with the Outside Auditor  
(ACC Docket article)

<http://acc.com/resource/v4834>

Dealing with Accountants and Auditors (ACC Annual Meeting Program Materials)

<http://acc.com/resource/v6903>



Responding to Auditor Requests (ACC Docket article)  
<http://acc.com/resource/v6903>

Audit Letter Responses in the Wake of Sarbanes-Oxley (ACC Docket article)  
<http://acc.com/resource/v1282>

#### METRICS

Law Department Metrics (ACC Leading Practices Profile)  
<http://www.acca.com/resource/v5899>

Adding Value and Moving Beyond the Cost Center Model (ACC Leading Practices Profile)  
<http://www.acca.com/resource/v5900>

How to Measure the Effectiveness/Value of the Legal Department  
<http://www.acca.com/resource/v6911>

Metrics Methodologies  
<http://www.acca.com/resource/v5581>

Litigation Management: Using Metrics to Demonstrate Value  
<http://www.acca.com/resource/v5585>

#### INVESTIGATIONS

Internal Investigations of Your Senior Executives (ACC Docket article)  
<http://acc.com/protected/pubs/docket/oct06/hands-on.pdf>

What to do When the Whistle Blows- Dos and Don'ts of Internal Investigations (ACC Docket article)  
<http://acc.com/resource/v4853>

Under the Magnifying Glass: Seven Steps to Living Through an SEC Investigation (ACC Docket article)  
<http://acc.com/resource/v5715>

Internal Investigation Process Flowchart (ACC Annual Meeting Program Material)  
<http://acc.com/resource/v5531>