

## ACC CLO THINKTANK FOLLOW-UP RESOURCE LIST

### **Session Topic: Corporate Liability in the Private Company Context & Other Hot Topics for Private Companies**

Following is a sampling of resource materials from ACC's virtual library for those who want to drill down deeper into some of the subjects noted during the CLO ThinkTank session. These and additional resources are available for further reference at <http://www.acca.com/vl>. Please note that access to these resources is password-protected. Complimentary password information for those who are not ACC members or those members who may not have their passwords handy is included in the email cover to this attachment and is good for the month of December.

#### **COMPLIANCE & ETHICS**

Compliance & Ethics Programs Practice Profile

[http://www.acca.com/protected/article/ethics/lead\\_compliance.pdf](http://www.acca.com/protected/article/ethics/lead_compliance.pdf)  
(features practices of 7 companies and includes links to resources)

Corporate Compliance InfoPAK

<http://www.acca.com/infopaks/compliance.html>

Sarbox 307 Up-the-Ladder Reporting & Attorney Professional Conduct Practice Profile

[http://www.acca.com/protected/article/corpresp/lead\\_sarbox.pdf](http://www.acca.com/protected/article/corpresp/lead_sarbox.pdf)  
(features practices of 8 companies and 5 law firms and includes links to resources)

ACC InfoPAK<sup>SM</sup> on In-House Counsel Standards Under Sarbanes-Oxley

<http://www.acca.com/infopaks/sarbanes.html>

ACC Docket article: "Setting Up a Compliance Department from Scratch," by Dina H. Seiver (Oct 2005)

<http://www.acca.com/protected/pubs/docket/oct05/build.pdf>

ACC Docket article: "About That Compliance Thing...Creating and Evaluating Effective Compliance Programs" by Teresa T. Kennedy, Seth M. Cohen, and Charles A. Reipenhoff, Jr. (Nov/Dec 2004)

<http://www.acca.com/protected/pubs/docket/nd04/compliancething.pdf>

#### **CORPORATE GOVERNANCE**

Corporate Governance for Companies Around the World Practice Profile

[http://www.acca.com/protected/article/governance/lead\\_global.pdf](http://www.acca.com/protected/article/governance/lead_global.pdf)  
(features practices of 7 companies and includes links to resources)

Board Governance & Role of In-House Lawyers Post Sarbanes-Oxley Practice Profile

[http://www.acca.com/protected/article/governance/lead\\_governance.pdf](http://www.acca.com/protected/article/governance/lead_governance.pdf)  
(features practices of 8 companies and includes links to resources)

Codes of Conduct & Business Ethics Practice Profile

[http://www.acca.com/protected/article/ethics/lead\\_ethics.pdf](http://www.acca.com/protected/article/ethics/lead_ethics.pdf)  
(features practices of 7 companies and includes links to resources)

#### **RECORDS MANAGEMENT**

Records & Information Management Practice Profile Article

[http://www.acca.com/protected/article/records/lead\\_infomgmt.pdf](http://www.acca.com/protected/article/records/lead_infomgmt.pdf)  
(features practices of 7 companies and includes links to resources)

Records Retention InfoPAK

<http://www.acca.com/protected/infopaks/records/infopak.pdf>

## **GLOBAL LAW DEPARTMENTS**

Global Law Department Design & Service Models Practice Profile

[http://www.acca.com/protected/article/international/lead\\_globallaw.pdf](http://www.acca.com/protected/article/international/lead_globallaw.pdf)

(features practices of 7 companies and includes links to resources)

Global Law Department, an ACC InfoPAK

<http://www.acca.com/infopaks/global.html>

ACC Docket article: “*Building a Global Law Department*,” by Sabine Chalmers (ACC Docket October 2005)

<http://www.acca.com/protected/pubs/docket/oct05/build.pdf>

ACC Docket article: “*The Global Compliance Landscape: A Resource File*,” by Alan Greenwood and Steven Lauer (October 2005)

<http://www.acca.com/protected/pubs/docket/oct05/build.pdf>

ACC Docket article: “*Crossing the Pond Without Drowning*” (Sept 2005; ToolKit drawn from webcast)

<http://www.acca.com/protected/pubs/docket/sept05/toolkit.pdf>

Legal Professional Privilege: The Issues for Multinational Businesses with Operations in Europe, by Eversheds for ACC’s International Legal Affairs Committee:

<http://www.acca.com/protected/article/attyclient/multinationalbuss.pdf>

## **USE OF NON-LAWYER PERSONNEL**

Use of Non-lawyer Personnel Practice Profile

<http://www.acca.com/protected/article/lawdman/nonlawyer.pdf>

(features practices of 9 companies and includes links to resources)

## **COMPENSATION & RETENTION FOR LAWYERS**

Compensation & Retention Strategies for In-House Lawyers Practice Profile

<http://www.acca.com/protected/article/lawdman/compensation.pdf>

(features practices of 6 companies and includes links to resources)

International Compensation Survey: Europe & Asia Pacific

<http://www.acca.com/protected/Surveys/comp/intl.pdf>

## **INVESTIGATIONS**

Internal Investigations InfoPAK

<http://www.acca.com/infopaks/intinvest.html>

Responding to Government Investigations InfoPAK

<http://www.acca.com/infopaks/govtinvest.html>

“*Responsive Measures for Government Investigations*”

<http://www.acca.com/protected/policy/compliance/respond.pdf>

“*Grand Jury Investigations: A Guide for In-House Counsel*”

<http://www.acca.com/am/04/cm/804.pdf>

Internal Investigation Process (Presentation & Flowchart)

<http://www.acca.com/protected/reference/internali/flowchart.pdf>

How to Respond to a Government Investigation/Inquiry: The First 30 Days (Presentation)

<http://www.acca.com/am/04/cm/804.pdf>