

ACC's CLO THINKTANK- FOLLOW-UP RESOURCE LIST LAW DEPARTMENT'S ROLE IN FINANCIAL COMPLIANCE & THE RELATIONSHIPS WITH AUDITORS

PRACTICE PROFILE ARTICLES (Each Practice Profile article includes at the end resource lists with additional links)

Board Governance and the Role of In-House Lawyers Post Sarbanes-Oxley

http://www.acca.com/protected/article/governance/lead_governance.pdf

(features practices of Alcan, Inc; Avnet Inc; Bell Canada Enterprises; Cargill Incorporated; ChevronTexaco; Intel Corporation; Lyondell Chemical Company; and Praxair, Inc.)

Codes of Business Conduct & Ethics

http://www.acca.com/protected/article/ethics/lead_ethics.pdf

(features practices of Intelsat Ltd. Group; Lockheed Martin Corporation; McDonald's Corporation; Olin Corporation; Pfizer Inc.; PricewaterhouseCoopers; and the Robert Wood Johnson Foundation)

Compliance & Ethics Programs

http://www.acca.com/protected/article/ethics/lead_compliance.pdf

(features practices of Altria Group, Inc. and Its Family of Companies; Computer Associates; International Paper; The Home Depot, Inc.; a Global Financial Services Company; and a Major Wholly-Owned U.S. Manufacturing Subsidiary of a Foreign Multinational)

COMPLIANCE TRAINING RESOURCES

InfoPAKSM on Compliance Training & e-Learning

<http://www.acca.com/infopaks/ecompliance.htm>

Automated & Online Compliance Training: The Future is Now (ACC 2004 Annual Meeting materials)

<http://www.acca.com/am/04/cm/105.pd>

(materials include overview of approach for assessing training needs and developing training program; also include sample procedures developed by Audit Committee of Snap-On Incorporated for receipt, retention, and treatment of accounting complaints)

ATTORNEY-CLIENT PRIVILEGE/AUDITOR PRIVILEGE RESOURCES

ACC Web Page on Attorney-Client Advocacy News

<http://www.acca.com/advocacy/attyclient.php>

(portal into ACC advocacy initiatives--includes information on ACC coalition initiatives and links to survey results, testimony & submittals before the House Judiciary Subcommittee on Crime, Terrorism and Homeland Security, the United States Sentencing Commission, amicus filings and more; also includes links to resources on auditor-client privilege, including amicus filed by ACC in TX and Corporate Counsel Consortium document)