

## ACC's CLO THINKTANK- FOLLOW-UP RESOURCE LIST

### CORPORATE LIABILITY: PROSECUTORIAL TRENDS & TACTICS

#### COMPLIANCE & ETHICS

Compliance & Ethics Programs Practice Profile Article

[http://www.acca.com/protected/article/ethics/lead\\_compliance.pdf](http://www.acca.com/protected/article/ethics/lead_compliance.pdf)  
(features practices 7 companies and includes links to resources)

Corporate Compliance InfoPAK

<http://www.acca.com/infopaks/compliance.html>

#### RECORDS MANAGEMENT

Records & Information Management Practice Profile Article

[http://www.acca.com/protected/article/records/lead\\_infomgmt.pdf](http://www.acca.com/protected/article/records/lead_infomgmt.pdf)  
(features practices of 7 companies and includes links to resources)

Records Retention InfoPAK

<http://www.acca.com/infopaks/recretent.html>

#### INVESTIGATIONS

*“Responsive Measures for Government Investigations”*\*

<http://www.acca.com/protected/policy/compliance/respond.pdf>

*“Grand Jury Investigations: A Guide for In-House Counsel”*

<http://www.acca.com/am/04/cm/804.pdf>

Internal Investigations InfoPAK

<http://www.acca.com/infopaks/intinvest.html>

Responding to Government Investigations InfoPAK

<http://www.acca.com/infopaks/govtinvest.html>

Internal Investigation Process (Presentation & Flowchart)

<http://www.acca.com/protected/reference/internali/flowchart.pdf>

How to Respond to a Government Investigation/Inquiry: The First 30 Days (Presentation)

<http://www.acca.com/am/04/cm/804.pdf>

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\* Copy made available during NYC ThinkTank session but not included in original binders